

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

1. Name and Address of Reporting Person* <u>LIPMAN JOHN C</u>  (Last) (First) (Middle) <u>C/O ROTH CH ACQUISITION II CO.</u> <u>888 SAN CLEMENTE DRIVE, SUITE 400</u>  (Street) <u>NEWPORT</u> <u>CA</u> <u>92660</u> <u>BEACH</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Roth CH Acquisition II Co [ ROCCU ]</u>  3. Date of Earliest Transaction (Month/Day/Year) <u>06/18/2021</u>  4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below)  <u>Chief Operating Officer</u>  6. Individual or Joint/Group Filing (Check Applicable Line)  <input checked="" type="checkbox"/> Form filed by One Reporting Person  Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		20,000 <sup>(1)</sup>		(2)	(3)	Common Stock	20,000	\$1.52 <sup>(1)</sup>	32,992	I	See Footnote <sup>(1)</sup>
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		10,000 <sup>(4)</sup>		(2)	(3)	Common Stock	10,000	\$1.52 <sup>(4)</sup>	42,992	I	See Footnote <sup>(4)</sup>
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		10,000 <sup>(5)</sup>		(2)	(3)	Common Stock	10,000	\$1.51 <sup>(5)</sup>	52,992	I	See Footnote <sup>(5)</sup>
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		10,000 <sup>(6)</sup>		(2)	(3)	Common Stock	10,000	\$1.52 <sup>(6)</sup>	62,992	I	See Footnote <sup>(6)</sup>
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		3,834 <sup>(7)</sup>		(2)	(3)	Common Stock	3,834	\$1.52 <sup>(7)</sup>	66,826	I	See Footnote <sup>(7)</sup>
Warrants to purchase Common Stock	\$11.5	06/18/2021		P		5,000 <sup>(8)</sup>		(2)	(3)	Common Stock	5,000	\$1.52 <sup>(8)</sup>	71,826	I	See Footnote <sup>(8)</sup>

Explanation of Responses:

1. By Craig-Hallum 401(k) Profit Sharing Plan FBO John Lipman.
2. The warrants become exercisable 30 days after the consummation of the registrant's initial business combination.
3. The warrants expire 5 years after the completion of the registrant's initial business combination or earlier upon redemption or liquidation, as described in the registrant's prospectus filed with the SEC.
4. By the reporting person's wife, S.L.
5. This transaction was executed in multiple trades at prices ranging from \$1.465 to \$1.5165. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of securities and prices at which the transaction was effected. The securities are held for C.L., the child of the reporting person, through a custodial account established pursuant to the Uniform Transfer to Minors Act ("UTMA") for which the reporting person serves as custodian.
6. The securities are held for E.L., the child of the reporting person, through a custodial account established pursuant to the Uniform Transfer to Minors Act ("UTMA") for which the reporting person serves as custodian.
7. This transaction was executed in multiple trades at prices ranging from \$1.5199 to \$1.52. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of securities and prices at which the transaction was effected. Represents securities held of record by the reporting person's Individual Retirement Account.
8. Represents shares held of record by the reporting person's wife's Individual Retirement Account.

By: /s/ John Lipman  
\*\* Signature of Reporting Person

06/21/2021  
Date

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**